



100 Garden City Plaza, Ste 518
Garden City, NY 11530

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INDEMNIFICATION & CERTIFICATION AT PAYOFF
New York Consumer Litigation Funding Act (A804-C/S1104-A)
Single Funder — Concierge Funding Only

Date: _____
Plaintiff Name: _____
Law Firm: _____
Underlying Matter: _____
Gross Recovery (Settlement/Judgment): \$ _____
Concierge Funding Payoff Amount (Total Charges): \$ _____
Concierge Funding File No.: _____

Concierge Funding, LLC (“Funder”), in connection with the resolution of the above-referenced matter and the disbursement of settlement or judgment proceeds, hereby certifies and agrees as follows:

1. CLFA Compliance Certification.

Funder certifies that the payoff amount identified above represents the total of all charges — including principal, interest, fees, and any other amounts owed to Funder — in connection with all consumer litigation funding agreements between Funder and the above-named client that are subject to the New York Consumer Litigation Funding Act, New York General Business Law Article 39-H (“CLFA”). Funder further certifies that said total charges do not exceed twenty-five percent (25%) of the gross recovery stated above, calculated before any deduction for legal fees or litigation expenses, as required by New York General Business Law § 1105.

2. DFS Registration.

Funder certifies that it is, or will be as of the date settlement proceeds are disbursed, duly registered with the New York State Department of Financial Services as required by the CLFA, and that such registration is or will be in good standing as of the date of disbursement.

3. Single Funder Confirmation.



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Funder certifies, to the best of its knowledge based on information available to it, that Concierge Funding, LLC is the only consumer litigation funding company holding a funding agreement with the above-named client in connection with the underlying matter.

This form is issued solely for matters in which no other consumer litigation funding company has a lien, agreement, or outstanding balance against the client's recovery.

If the above-named client has received funding from any other company in connection with this matter, this form is not valid and may not be relied upon. The law firm must request the Multi-Funder Indemnification & Certification at Payoff directly from Concierge Funding before disbursing any settlement proceeds.

4. Indemnification & Hold Harmless.

Funder agrees to indemnify, defend, and hold harmless the above-named law firm and each of its attorneys, partners, associates, and employees (collectively, "Indemnified Parties") from and against any and all claims, demands, actions, losses, damages, costs, and expenses — including reasonable attorneys' fees — arising out of or relating to: (a) any assertion that Funder's charges in connection with the above-referenced funding agreements violate or exceeded the limits permitted under the CLFA; (b) any assertion that Funder was not duly registered with the DFS at the time of disbursement; or (c) any other failure by Funder to comply with the requirements of the CLFA in connection with the above-referenced funding.

5. Limitation of Indemnification.

This indemnification applies solely to claims arising from Funder's own acts, omissions, or charges. Funder's indemnification obligations do not extend to: (a) claims arising from the independent acts or omissions of the Indemnified Parties; (b) any charges, agreements, or conduct of any other consumer litigation funding company; or (c) any matter in which the law firm knew or reasonably should have known that another funding company held an agreement with the client and failed to request the appropriate Multi-Funder form.

6. Accuracy of Information.

Funder certifies that all information provided in this Certification is true and accurate as of the date signed below, and that Funder has disclosed all funding agreements with the above-named client of which it has knowledge that are subject to the CLFA.

7. Reliance.

Funder understands and acknowledges that the Indemnified Parties will rely upon this Certification and Indemnification in disbursing settlement or judgment proceeds, and that such reliance is reasonable and intended.



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CONCIERGE FUNDING, LLC

By: _____

Name: _____

Title: Compliance Officer

Date: _____

Questions about this document or your file? Email us at info@conciergefunding.com or visit conciergefunding.com. Not yet working with Concierge Funding? Our client portal gives your firm 24/7 access to every case, real-time payoff information, and downloadable documents on demand. We welcome the opportunity to meet in person or schedule a call for a personal introduction and live demonstration of our client portal.

This document is provided pursuant to the New York Consumer Litigation Funding Act (A804-C/S1104-A), effective June 17, 2026. This document does not constitute legal advice. Law firms are encouraged to consult with their own counsel regarding their obligations under the CLFA.