



100 Garden City Plaza, Ste 518
Garden City, NY 11530

www.conciergefunding.com
info@conciergefunding.com
main: 844-360-1818

PAYOFF COMPLIANCE CERTIFICATE

New York Consumer Litigation Funding Act (A804-C/S1104-A)

Date: _____
Client/Plaintiff Name: _____
Law Firm: _____
Underlying Matter: _____
Concierge Funding File No.: _____

PAYOFF CALCULATION

| ITEM | AMOUNT |
|---|------------------------------------|
| Gross Recovery (Settlement/Judgment) | \$ _____ |
| 25% Cap on Charges (Gross Recovery × 25%) | \$ _____ |
| Total Concierge Funding Payoff (All Charges) | \$ _____ |
| Payoff as Percentage of Gross Recovery | _____ % |
| CLFA Cap Compliance | <input type="checkbox"/> COMPLIANT |

Concierge Funding, LLC (“Funder”), hereby certifies to the above-named law firm and its attorneys as follows:

1. Payoff Certification.

The total payoff amount identified above represents all charges owed to Concierge Funding, LLC in connection with all consumer litigation funding agreements between Funder and the above-named client that are subject to the New York Consumer Litigation Funding Act, New York



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General Business Law Article 39-H (“CLFA”). This amount includes all principal, interest, fees, and any other amounts due to Funder through the date of this Certificate.

2. Cap Compliance.

Funder certifies that the total payoff amount identified above does not exceed twenty-five percent (25%) of the gross recovery stated above, calculated on the gross settlement or judgment before any deduction for legal fees or litigation expenses, as required by New York General Business Law § 1105.

3. DFS Registration.

Funder certifies that it is duly registered with the New York State Department of Financial Services as required by the CLFA, and that such registration is in good standing as of the date of this Certificate.

4. Single Funder Confirmation.

This Certificate is issued on the basis that Concierge Funding, LLC is the only consumer litigation funding company holding an agreement with the above-named client in connection with the underlying matter.

This Certificate is not valid for matters in which any other consumer litigation funding company holds a lien, agreement, or outstanding balance against the client’s recovery.

If the client has received funding from any other company, the law firm must contact Concierge Funding directly before disbursing any settlement proceeds.

5. Attorney Reliance.

Funder understands and acknowledges that the above-named law firm and its attorneys will rely upon this Certificate in disbursing settlement or judgment proceeds to Funder, and that such reliance is reasonable and intended.



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6. File Retention.

This Certificate is intended to be attached to the law firm's settlement file alongside the Indemnification & Certification at Payoff (Form 2) and the Attorney Referral Certification (Form 3) as part of the firm's CLFA compliance documentation.

CONCIERGE FUNDING, LLC

By: _____

Name: _____

Title: Compliance Officer

Date: _____

Questions about this document or your file? Email us at info@conciergefunding.com or visit conciergefunding.com. Not yet working with Concierge Funding? Our client portal gives your firm 24/7 access to every case, real-time payoff information, and downloadable documents on demand. We welcome the opportunity to meet in person or schedule a call for a personal introduction and live demonstration of our client portal.

This document is provided pursuant to the New York Consumer Litigation Funding Act (A804-C/S1104-A), effective June 17, 2026. This document does not constitute legal advice. Law firms are encouraged to consult with their own counsel regarding their obligations under the CLFA.